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| **Vortex-induced Vibration Identification of Bridge Cables Applying Multiple Indicators and Clustering Algorithm** |
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**ABSTRACT**

Vortex-induced vibration (VIV), among all the anomalous oscillations, is one of the most common jeopardies facing cable-stayed bridge cables and suspension bridge cables. Typically, this kind of vibration tends to cause large cable displacement and thus imposes baneful implications upon cables. Therefore, it is essential to design an efficient method to spontaneously recognize such vibrations and send instant warnings. Recently, the Hilbert Transform (HT) are utilized to analyze and extract the single-modal attribute of VIV. Albeit accurate, this method is somewhat time-consuming due to the O(nlogn) time complexity. To boost the efficiency, this paper proposes a derivative indicator based on discrete numerical differentiation with an O(n) time complexity, thus remarkably ameliorating the monitoring method and offering an obvious advantage to the on-time warning. Thereafter, a novel method applying multiple indicators together with various clustering algorithms is used to cope with the abnormal vibration time history of a long-span cable-stayed bridge, which proves both the accuracy and efficiency of such a method. Moreover, a statistical analysis also demonstrates the identity between the indicator extracting from HT and that deriving from numerical differentiation, with respect to single-modal vibration recognization.

**KEYWORDS:** *Bridge cable, vortex-induced vibration, Hilbert Transform, derivative indicator, clustering algorithm.*

**1. Introduction**

Known for its frequent occurrence in cable-stayed bridges and suspension bridges, Vortex-induced vibration (VIV) usually brings about large cable displacement and follows irrevocable detrimental implications. Therefore, it is essential to conceive an efficacious method that can spontaneously recognize VIV and send instant warnings. Generated by vortices periodically separating from either side of a bluff body when fluid passes it, the force that causes VIV is represented by a non-dimensional number, i.e., the Strouhal number, which can be calculated by Eq. , where *St* is the Strouhal number and *f*, *D* and *U* refer to the frequency of the airflow, the diameter of a bridge cable, and the speed of the airflow, respectively [1].

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Traditionally, three major methods are frequently utilized to explore the principle of VIV, i.e., theoretical analyses, computational fluid dynamics simulations, and wind tunnel tests. Nevertheless, the mechanism underlying VIV is still uncovered due to the high nonlinearity of airflow and cable-fluid interaction. Nowadays, the prevalence of Structural Health Monitoring System (SHMS) offers a tremendous opportunity for the big-data-based study of cable vibration. Due to their convenience and relatively low price, accelerometers are widely used in SHMS as the major data resources. The root mean square (RMS) of acceleration time history is then deemed as the prime indicator to quantify the cable vibration intensity. However, more information is deserved to distinguish the VIV from other anomalies, since multiple kinds of abnormal vibrations could cause large-acceleration vibrations. Typically, researchers employed the Fourier transform to obtain either the frequency spectrum or power spectrum, which can be used to analyze the excited modes. The spectrum with a single high peak indicates the occurrence of VIV since this kind of vibration usually comprises only one major vibration frequency [2].

Recently, scholars presented numerous innovative methods to detect VIV from acceleration time history. Huang, Z. et al. [3] employs Random Decrement Method to deepen the difference between VIV and normal ambient vibration, thus making it more precise for VIV identification. To automatically sieve out the non-stationary section of the so-called abnormal vibration, i.e., the VIV, Zhao, H. et al. [4] takes advantage of Gaussian mixture modeling of the envelope of time history. After that, the stationary section is selected to extract modal parameters. Among all these methods, the novel algorithm based on the Hilbert Transform (HT) is one of the most prevalent. A composite complex analytic signal, whose real part is the original signal while the imaginary part represents the HT of the original, is introduced into this method. The projection of such a signal on the complex plain reflects the constituent of the vibration, as Dan, D. and Li, H. [5] suggest, the more it resembles a hollow ring, the more exact its single-mode attribute, and thus the more exact the occurrence of vortex-induced vibration. Although the method that employs HT is precise, it is somewhat time-consuming, since based on the Fast Fourier transform, whose time complexity is O(nlogn). To enhance the time efficiency, this paper proposes a derivative indicator based on discrete numerical differentiation, which has an O(n) time complexity, thus obviously lowering the calculative time and bringing about a dependable on-time warning.

Furthermore, since any individual indicator might fail to distinguish VIV from normal vibration due to complicated environmental effects, more indicators should be taken into consideration. He, M. et al. [6] present two indicators based on the power spectrum and HT analytical signal respectively. These two indicators are then employed to differentiate VIV and normal vibration based on a pre-set line that relies on practical experience. In addition to that, multifarious clustering algorithms are introduced to achieve unsupervised classification. He, M. et al. [7] claim that KMeans might be the best choice to separate different kinds of vibrations since both hierarchical and density-based clustering entail some hyper-parameters that could not be precisely determined. Li, S. et al. [8], however, use a novel clustering strategy deriving from the traditional density-based algorithm to detect the VIV in the beam of a suspension bridge. Nevertheless, KMeans applied by He, M. et al. [7] is extremely sensitive to outliers, while the method employed by Li, S. et al. [8] demands laborious analysis when determining the number of cluster centroids. To overcome these shortages, a method applying the DBSCAN clustering algorithm is used in discriminating different vibrations based on RMS and the derivative indicator, i.e., the hollow coefficient of the derivative analytical signal (HCD). SHMS data of a long-span cable-stayed bridge are analyzed accordingly, and the result proves both the accuracy and efficiency of such a method.

The remaining sections are organized as follows. The methodology of VIV identification is explicated in Sec. 2, where several indicators together with varied clustering algorithms are introduced. In Sec. 3, these methods are carried out to identify the VIV occurring in a long-span cable-stayed bridge cable, whose long-time acceleration time history is recorded by SHMS. Finally, conclusions are drawn in Sec. 4.

**2. Methodology**

**2.1. Key Indicators for VIV Recognization**

To identify VIV from lengthy acceleration time history recorded by the SHMS, various indicators are demanded, among which the time domain feature and frequency domain feature are of vital importance. In the following content, three key indicators defined by the features of the two domains will be briefly explained.

2.1.1. Root mean square of acceleration time history

When VIV occurs on a cable, its vibration is usually much more intense than in normal circumstances. Therefore, the root mean square (RMS) of acceleration time history, which can be calculated by Eq. , is applied to represent the intensity of cable vibration. Generally, the larger the RMS, the more likely a certain kind of abnormal vibration is to occur.

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2.1.2. Hollow coefficient of Hilbert analytical signal

The RMS, though a helpful indicator to suggest the presence of abnormal vibration, purveys no additional information to discriminate VIV from other large-amplitude abnormal vibrations. Therefore, the single-modal property of large-amplitude VIV is taken into consideration by applying HT, which is demonstrated by Eq. , where *y(t)* and *x(t)* represent the transformed signal and the original signal, respectively.

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The Hilbert analytical signal is then defined as a complex signal *z(t)*, as shown in Eq. 4., which consists of both the original signal *x(t)* and the transformed signal *y(t)*.

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It is mathematically proved that the HT of a sine function is its corresponding cosine function and vice versa. According to this feature, if a cable is under an ideal VIV situation, i.e., the original acceleration signal is a sine function, the projection of its Hilbert analytical signal in the complex plane will be a circle (see Fig. 1 (a), where all the physical quantities are normalized in advance). Practically, due to the wideband components of the force caused by the vortex and the influence of environmental noises, the projection of the analytical signal is a ring with an inner radius *R1*and outer radius *R2*, as shown in Fig. 1 (b).

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| hilbert_ideal_sin  **(a)** Ideal sine function | hilbert_real_sin  **(b)** sine function with noises |

**Figure 1.** Projection of the Hilbert analytical signal of a sine function in a complex plain

To quantify the single-modal feature of VIV, the HCH is defined by Eq. , where *R1*and *R2* represent the inner radius and outer radius displayed in Fig. 1 (b).

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It can be imagined that the more the HCH is closer to one, the more similar the ring is to a circle, and thus the more obvious the emergence of single modal vibration is.

2.1.3. Hollow coefficient of the derivative analytical signal

The analytical signal applying the signal transformed by HT as the imaginary part is indeed useful. Despite that, the long time it spent due to the O(nlogn) time complexity of HT makes it difficult for in-time detection and warning. To cope with this predicament, the derivative transform, as shown in Eq , is proposed to substitute the HT, while the composite complex signal can still be represented by Eq. .

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Overall, such a substitution reduces the time complexity to O(n), which descends from the calculative expense of the numerical differentiation of a time series. The feasibility of this replacement relies on the fact that the derivative of a sine function is a cosine function and vice versa. Moreover, one additional matter worth attention is that the new signal derived from the derivative transform should be normalized one more time since this process introduces a multiplier *ω*, which is the circular frequency of a sine or cosine function.

Similarly, the projection of the derivative analytical signal of the sine function in a complex plain is shown in Fig 2., where subfigure (a) and subfigure (b) represent the ideal condition and noise-influenced condition, respectively. HCD is correspondingly defined by Eq. 7., whose variables have identical meanings to those in Eq. .

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| derivative_ideal_sin  **(a)** Ideal sine function | derivative_real_sin  **(b)** sine function with noises |

**Figure 2.** Projection of the derivative analytical signal of the sine function in a complex plain

**2.2. Brief introduction of Clustering Algorithm for sample classification**

2.2.1. KMeans algorithm

The KMeans algorithm might be the simplest and most famous clustering algorithm among all of them. First of all, a hyper-parameter *k*, which means the number of clustering centroids, should be determined in advance. After that, the algorithm will automatically portion all data points into *k* classes, such that the sum of each point’s distance to its nearest centroid gradually approaches the minimum value. This process might iterate for numerous times and the centroids will be dynamically relocated until no data point changes its classification.

2.2.2. DBSCAN algorithm

DBSCAN is an abbreviation of density-based spatial clustering of applications with noise. It can be inferred from its full name that this method is based on the density of data points that distribute in the sample space. To utilize this algorithm, two major hyper-parameters are entailed, i.e., the density threshold and the neighbor radius. One of the most important advantages of DBSCAN is that it can rule out a substantial number of noise and is thus robust to outliers, which is essential when dealing with practical engineering projects that are vulnerable to environmental noises, such as cable VIV detection.

1. **viv identification of a long-span cable-stayed bridge**

**3.1. Description of Data Processing**

In this section, the SHMS deployed in the Tongling Bridge is used as the data source to demonstrate the proposed algorithms. Locating in Tongling City, Anhui province, the bridge is a long-span cable-stayed bridge, whose cables intermittently suffer from VIV. Therefore, this research might help the bridge owner to detect VIV and send instant warnings.

One cable in the Tongling Bridge, which is attached to an accelerometer named ACC-C01-01, is applied to explicate the whole process. The original data provided by SHMS is the time history of acceleration within an hour, whose file type is MATLAB’s mat file. First of all, the one-hour continuous time history is divided into several pieces by a time window with a length of 10 minutes and a slide step of 5 minutes, and the RMS of each time interval is calculated. Then, the Fast Fourier Transform follows to secure the frequency spectrum of acceleration. Furthermore, both the HT and the derivative transform are performed respectively to get the HCH and HCD. Finally, all the indicators, i.e., the RMS, HCH, and HCD are considered together by KMeans and DBSCAN to classify VIV and normal vibration.

**3.2. Illustration of Typical VIV Vibration and Normal Vibration**

As mentioned above, each one-hour continuous time history is sliced by a 10-minute-long time window. It is noteworthy that since the slide step of this time window is 5 minutes, there is a 5-minute-long overlapping region between each two contiguous time windows. As a result, each one-hour raw data offers eleven samples.

The discrepancy between VIV and normal vibration is remarkable. Fig. 2 shows the time history, frequency spectrum, and projections of the two analytical signals within an hour. It is noticeable that the RMS of VIV is much bigger than that in normal vibration. Moreover, the frequency spectrum of acceleration during VIV almost merely comprise one eigenfrequency, while that during normal condition contains multiple modal components. What’s more noticeable, both the projections of the Hilbert analytical signal and derivative analytical signal display a hollow ring and solid circle in VIV and non-VIV circumstant, respectively. In a nutshell, the VIV manifests a strong attribution of large amplitude and approximately unimodal vibration.

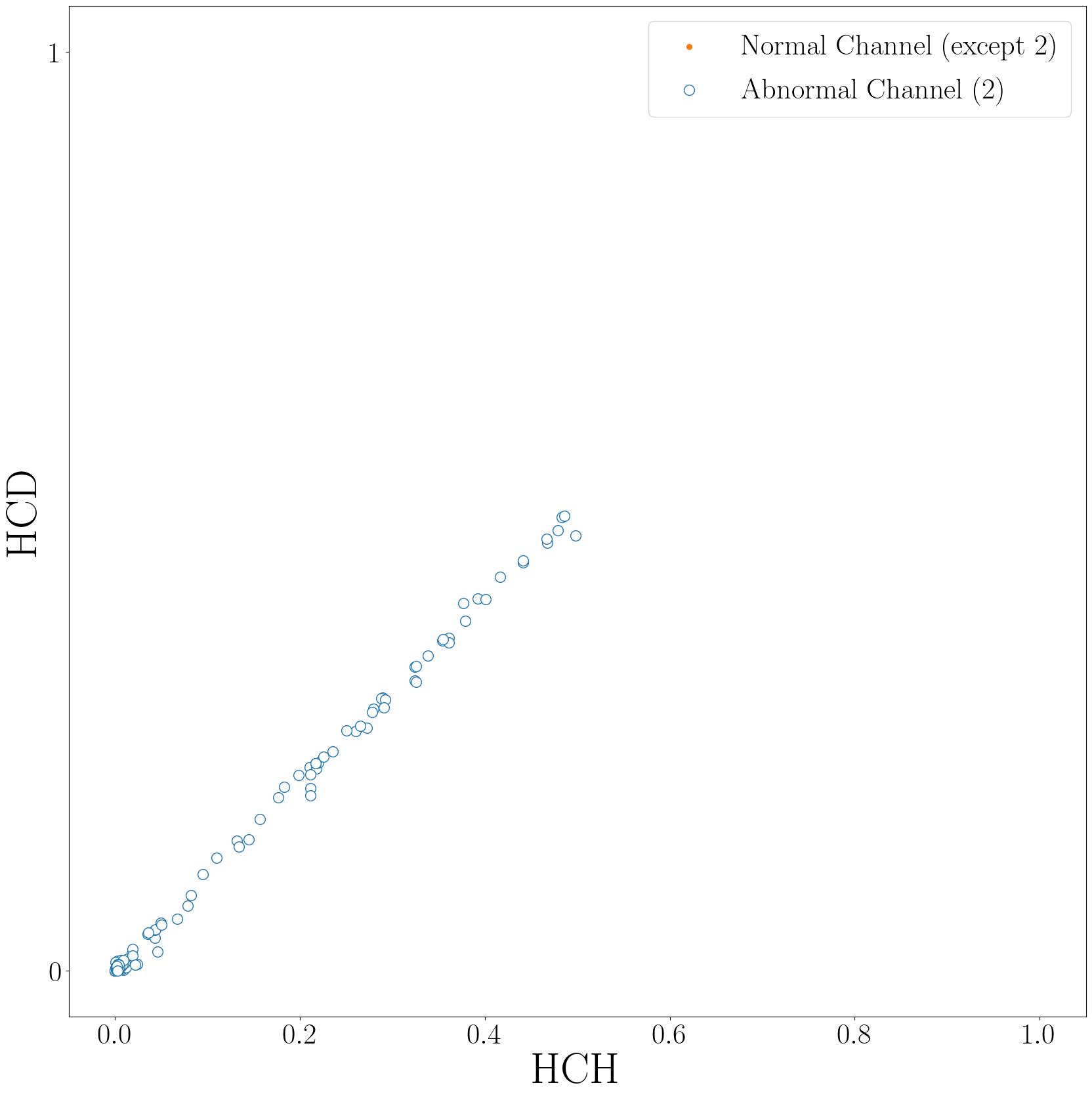
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| **2021-04-23 10_channel 1**  **(a).** Normal ambient vibration |
| **2021-04-23 10_channel 2**  **(b).** VIV |

**Figure 2.** Time history, frequency spectrum, projections of the two analytical signals in an hour

**3.3. Statistic proof of the equivalence between Hilbert transform and derivative**

Fig. 2 indicates not only that the projections of the two analytical signals during VIV are distinct from that during non-VIV conditions, but that the two are almost identical when dealing with the same period of a signal. On the one hand, projections during the non-VIV are similar solid circles. On the other hand, those during the VIV are similar hollow rings with approximately equal inner radii and outer radii.

To further confirm the identity of the derivative transform and HT when recognizing VIV, Fig. 3 plots all the samples into an HCD-HCH coordinate system, where all the scatter points almost distribute in the line *HCD = HCH*, which means these two indicators offer the same information to detect the single modality. Therefore, it is reasonable to replace the complicated HT with the much more efficient derivative transform. Another matter that demands attention is that all the normal vibration samples have an almost zero-value HCD and an almost zero-value HCH, making themselves concentrated in the coordinate origin and covered by some VIV sample points.



**Figure 3.** Scatter diagram to compare HCH and HCD

**3.4. VIV Identification Using Varied clustering algorithms**

3.4.1. Classification result of KMeans

As mentioned above, RMS is used to represent the intensity of vibration, while both HCD and HCH quantify the single-modal degree. Herein, HCD is selected due to the low time complexity of the underlying derivative transform. The manual-labeled result is shown in Fig. 4 (a).

The KMeans algorithm is then applied to identify the VIV and non-VIV by classifying the sample points in the HCD-RMS coordinate system into two classes. Displayed in Fig. 4 (b), the diagram indicates a considerable difference between the manual labels and the KMeans classification results that many VIV sample points with an HCD of approximately zero and an RMS ranging from 50 to 65 are misclassified.

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| RMS-HCD  **(a).** Label | RMS-HCD-KMeans  **(b).** KMeans | **RMS-HCD-DBSCAN**  **(c).** DBSCAN |

**Figure 4.** The classification of VIV and normal vibration

3.4.2. Classification result of DBSCAN

Similarly, DBSCAN is also introduced for VIV recognization, and the result is shown. Herein, the neighbor radius is set to 0.075, while the density threshold is set to one-tenth of the total number of samples. It is noteworthy that the DBSCAN classification manifests much more consistency with the manual label by contrast with the KMeans. Therefore, DBSCAN might be the better method for VIV identification.

**4. conclusions**

To detect the occurrence of VIV in bridge cable, two key indicators, i.e., the RMS and HCH, is utilized to extract certain feature from acceleration time history. Drawn in the HCD-RMS coordinate system, the vibration sample points can be divided into two classes by two clustering algorithms automatically. The proposed methods make it possible to achieve real-time warning of VIV in the future and the following conclusions can be drawn.

(1) RMS and HCH demonstrate high accuracy to quantify the vibration intensity and mono-modality of a certain signal.

(2) While offering nearly the same information compared with the HCH, the HCD is much more efficient, since based on the derivative transform, whose time complexity is O(n).

(3) DBSCAN-based VIV-recognization is much more precise compared with that depending on the KMeans.

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